

Audit, Compliance and Governance Committee, 2022 Work Plan

27 September 2022 (report deadline, 5.00pm, Friday 16 September)		
Audit Progress and Sector Update report	Grant Thornton	
Internal audit monitoring report	Internal Audit	
Counter Fraud update and future work provision	Counter Fraud Unit	
CFEU Fraud Risk Strategy and Update	Counter Fraud Unit	
Updated Counter Fraud and Anti-Corruption Policy	Counter Fraud Unit	

EXTRAORDINARY MEETING – 23 November 2022 (report deadline, 5.00pm, Monday 14 November)		
Audit Findings Report – ISA260 (from previous year) <i>pushed back from September</i>	Grant Thornton	
Statement of Accounts (Previous year) (inc. letter of representation) <i>pushed back from July & September</i>	Finance Team	

24 January 2023 (report deadline, 5.00pm, Friday 13 January)		
IT Security update	John Chorlton/Tony Oladejo	
Audit committee update	Grant Thornton	
Annual Auditors report (for previous year)	Grant Thornton	
Internal audit monitoring report	Internal Audit	
Annual governance statement – significant issues action plan	Ann Wolstencroft	
Annual Review of Risk Management Policy <i>pushed back from April, July & September</i>	Ann Wolstencroft	
Exempt report Cyber Security Business Continuity Arrangements (inc. review of databases and data sources) <i>pushed back from April, July, September – may be April</i>	Mike Redman	

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ANNUAL ITEMS (standing items to be added to the work plan each year)	
January	
IT Security update	John Chorlton/Tony Oladejo
Audit committee update	Grant Thornton
Annual Auditors report (for previous year)	Grant Thornton
Internal audit monitoring report	Internal Audit
Annual governance statement – significant issues action plan	Ann Wolstencroft
April	
Audit progress report and sector updates	Grant Thornton
External audit plan (for the current year)	Grant Thornton
External Audit Fee Letter (for previous year)	Grant Thornton
Annual plan (for the upcoming year)	Internal Audit
Internal audit monitoring report	Internal Audit
Counter Fraud Unit report (inc. RIPA / IPA update)	Counter Fraud Unit
Annual review of Code of Corporate Governance (if CIPFA guidance has changed)	Darren Knight
Annual Governance Statement	Darren Knight
Annual Review of Risk Management Policy	Ann Wolstencroft
July	
Internal audit opinion (for the previous year)	Internal Audit
Auditing Standards – communicating with the Audit Committee	Paul Jones/Chair
Statement of Accounts (previous year) (inc. letter of representation)	Finance Team
Annual update on FOI and EIR	Judy Hibbert/Beth Cordingley
September	
Audit Findings Report - ISA260 (for the previous year)	Grant Thornton
Internal audit monitoring report	Internal Audit
Counter Fraud update and future work provision	Counter Fraud Unit