

Audit, Compliance and Governance Committee 2022 work plan

Item	Author	Received
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27 September 2022 (report deadline, 5.00pm, Friday 16 September)		
Audit Findings Report - ISA260 (for the previous year)	Grant Thornton	
Internal audit monitoring report	Internal Audit	
Counter Fraud update and future work provision	Counter Fraud Unit	
CFEU Fraud Risk Strategy and Update	Counter Fraud Unit	
Updated Counter Fraud and Anti-Corruption Policy	Counter Fraud Unit	
Auditing Standards – communicating with the Audit Committee (<i>pushed back from July</i>)	Paul Jones/Chair	
Statement of Accounts (previous year) (inc. letter of representation) (<i>pushed back from July</i>)	Finance Team	
Cyber Security Business Continuity Arrangements (inc. review of databases and data sources). Exempt report (<i>pushed back from April & July</i>)	Darren Knight Mike Redman	
Annual Review of Risk Management Policy (<i>pushed back from April & July</i>)	Ann Wolstencroft	

ANNUAL ITEMS (standing items to be added to the work plan each year)		
January		
IT Security update	John Chorlton/Tony Oladejo	
Audit committee update	Grant Thornton	
Annual Auditors report (for previous year)	Grant Thornton	
Internal audit monitoring report	Internal Audit	
Annual governance statement – significant issues action plan	Ann Wolstencroft	
April		
Audit progress report and sector updates	Grant Thornton	
External audit plan (for the current year)	Grant Thornton	
External Audit Fee Letter (for previous year)	Grant Thornton	
Annual plan (for the upcoming year)	Internal Audit	
Internal audit monitoring report	Internal Audit	
Counter Fraud Unit report (inc. RIPA / IPA update)	Counter Fraud Unit	
Annual review of Code of Corporate Governance (if CIPFA guidance has changed)	Darren Knight	
Annual Governance Statement	Darren Knight	
Annual Review of Risk Management Policy	Ann Wolstencroft	

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July		
Internal audit opinion (for the previous year)	Internal Audit	
Auditing Standards – communicating with the Audit Committee	Paul Jones/Chair	
Statement of Accounts (previous year) (inc. letter of representation)	Finance Team	
Annual update on FOI and EIR	Judy Hibbert/Beth Cordingley	
September		
Audit Findings Report - ISA260 (for the previous year)	Grant Thornton	
Internal audit monitoring report	Internal Audit	
Counter Fraud update and future work provision	Counter Fraud Unit	