

The risk				Original risk score (impact x likelihood)			Managing risk					
Risk ref.	Risk description	Risk Owner	Date raised	I	L	Score	Control	Action	Deadline	Responsible officer	Transferred to risk register	
	Legal risks of challenge to decisions and processes arising through occasional but not comprehensive review	Borough Solicitor	6/6/11	3	1	3	Reduce	Comprehensive review of constitution is being undertaken	12/10/11	Borough Solicitor		
	The potential additional flexibilities / increases in limits within the financial rules could increase the risk of inappropriate use of public funds.	Section 151 Officer	28/9/11	1	1	1	Accept	The supporting financial control processes (within the existing financial management system / new ERP) and the Financial Services business partnering role coupled with formal budget monitoring arrangements ensure that this risk is minimised.	31/3/13	Section 151 Officer		
	Risk of Officers decisions being made outside of due process	Borough Solicitor						Ensure robustness of the Decision Making principles in the Constitution  Ensure that Officers sub-delegating that the Officers	January 2012	Borough Solicitor  Chief Executive		

								understand scope and obligations and accountability for their decisions			
	Overview & Scrutiny Review – if any new arrangements are not supported by a change in culture across members and officers they may not be successful in delivering the outcomes required	Director Commissioning	27/9/20-11	3	3	9	Reduce	Get members and officers buy in during the review by seeking their views and ideas	31/05/2011	Director Commissioning	