

Regulation of investigatory powers Cabinet Report risk template

The risk				Original risk score (impact x likelihood)			Managing risk				
Risk ref.	Risk description	Risk Owner	Date raised	I	L	Score	Control	Action	Deadline	Responsible officer	Transferred to risk register
1	If the council does not have proper procedures in place for authorising RIPA surveillance then that the action is unlawful by virtue of section 6 of the Human Rights Act 1998 and the council could face prosecution	Andrew North, CEX	7 April 2010	2	3	4	reduce	<p>The procedural guide will be included within appendix A of the Code of Corporate Governance and reviewed annually</p> <p>Quarterly reports to cabinet on use of RIPA</p> <p>Named and trained authorising officers Named senior responsible owner</p> <p>Inspection report from the OSC</p>	<p>April 2011 and onwards</p> <p>July 2010 and 1/4ly thereafter</p> <p>TBA</p> <p>TBA</p> <p>June 2010</p>	Bryan Parsons, Policy Officer Governance	Policy and performance divisional risk register
2	If the council does not have proper procedures in place for authorising RIPA surveillance then there is a reputation risk.	Andrew North, CEX	7 April 2010	2	3	4	reduce	<p>The Policy will be included within appendix A of the Code of Corporate Governance and reviewed annually</p> <p>Quarterly reports to cabinet on use of</p>	<p>April 2011 and onwards</p> <p>July 2010 and 1/4ly</p>	Bryan Parsons, Policy Officer Governance	Policy and performance divisional risk register

								RIPA	thereafter		
								Named and trained authorising officers	TBA		
								Named senior responsible owner	TBA		
								Inspection report from the OSC	June 2010		

Guidance

Types of risks could include the following:

- Potential reputation risks from the decision in terms of bad publicity, impact on the community or on partners;
- Financial risks associated with the decision;
- Political risks that the decision might not have cross-party support;
- Environmental risks associated with the decision;
- Potential adverse equality impacts from the decision;
- Capacity risks in terms of the ability of the organisation to ensure the effective delivery of the decision
- Legal risks arising from the decision

Remember to highlight risks which may impact on the strategy and actions which are being followed to deliver the objectives, so that members can identify the need to review objectives, options and decisions on a timely basis should these risks arise.

Risk ref

If the risk is already recorded, note either the corporate risk register or TEN reference

Risk Description

Please use “If xx happens then xx will be the consequence” (cause and effect). For example “If the council’s business continuity planning does not deliver effective responses to the predicted flu pandemic then council services will be significantly impacted.”

Risk owner

Please identify the lead officer who has identified the risk and will be responsible for it.

Risk score

Impact on a scale from 1 to 4 multiplied by likelihood on a scale from 1 to 6. Please see risk [scorecard](#) for more information on how to score a risk

Control

Either: Reduce / Accept / Transfer to 3rd party / Close

Action

There are usually things the council can do to reduce either the likelihood or impact of the risk. Controls may already be in place, such as budget monitoring or new controls or actions may also be needed.

Responsible officer

Please identify the lead officer who will be responsible for the action to control the risk.

For further guidance, please refer to the [risk management policy](#)

Transferred to risk register

Please ensure that the risk is transferred to a live risk register. This could be a team, divisional or corporate risk register depending on the nature of the risk and what level of objective it is impacting on.